

ROSNER NOCERA & RAGONE, LLP

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Attorneys for Defendant

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CARLOS AUGUSTO LOPES,

Plaintiff,

-against-

UNITED STATES  
DISTRICT COURT  
SOUTHERN DISTRICT  
OF NEW YORK

Case No.: 07 CV 5928

MELLON INVESTOR SERVICES LLC, JP MORGAN  
CHASE & CO., WACHOVIA CORPORATION,  
WACHOVIA BANK, N.A., WACHOVIA/FIRST  
UNION BANK, HELENA BUSHROE, JOHN DOE  
AND JANE ROE,

Rule 7.1 Statement

Defendants.  
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Pursuant to Federal Rule of Civil Procedures 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for defendant Wachovia Bank, N.A., ("Wachovia"), also incorrectly sued herein as Wachovia Corporation and Wachovia /First Union Bank (A private non-governmental party) certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

Wachovia Corporation

Dated: New York, New York  
September 25, 2007

ROSNER NOCERA & RAGONE, LLP

By \S\ John A. Nocera  
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